

# LOCALLY COMPACT SIMPLE RINGS HAVING MINIMAL LEFT IDEALS

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Let  $A$  be an indiscrete locally compact primitive ring having minimal left ideals. Algebraically,  $A$  may be regarded as a dense ring of linear operators containing nonzero linear operators of finite rank on a vector space  $E$  over a division ring  $K$ , and furthermore if  $A$  is simple, then  $A$  contains only linear operators of finite rank. The topology of  $A$  induces topologies on  $E$  and  $K$  in a natural way, so that  $K$  becomes a locally compact division ring and  $E$  a locally compact vector space over  $K$ . Theorems about locally compact division rings and locally compact vector spaces imply that if  $K$  is indiscrete, then  $E$  is necessarily finite dimensional over  $K$ , and thus  $A$  is the ring of all linear operators on a finite-dimensional vector space over a locally compact division ring. Kaplansky proved that if  $A$  has characteristic zero, then  $K$  is indeed indiscrete, but he showed by an example that  $E$  could be infinite dimensional. Kaplansky remarked [9, p. 459], however, that if  $A$  is simple, it seemed unlikely that  $E$  could be infinite dimensional, since otherwise "completeness appears to require the presence of linear transformations with infinite-dimensional range." Our principal result in §1 is the verification of this conjecture; however, our argument is based not on the fact that a locally compact ring is complete, but rather on the fact that a locally compact space is a Baire space and on the symmetry between minimal left and right ideals in a simple ring. In §2 we shall present certain results about locally compact vector spaces over discrete division rings; these enable us to prove that a locally compact, indiscrete, central primitive algebra having minimal left ideals is finite dimensional provided its scalar field either is indiscrete, has characteristic zero, or is uncountably infinite. However, there is a very natural example of a locally compact, indiscrete, infinite-dimensional central primitive algebra having minimal left ideals over a discrete countably infinite field of prime characteristic.

**1. Locally compact simple rings having minimal left ideals.** We begin with a summary of the structure theory of a primitive ring  $A$  having minimal left ideals. Let  $e$  be a minimal idempotent of  $A$ , that is, an idempotent such that  $Ae$  is a minimal left ideal, or equivalently, such that  $eA$  is a minimal right ideal. Then  $eAe$  is a division ring, the additive group  $Ae$  becomes a right  $eAe$ -vector space by defining scalar multiplication to be the restriction of multiplication on  $A$  to

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$Ae \times eAe$ , and similarly  $eA$  becomes a left  $eAe$ -vector space by defining scalar multiplication to be the restriction of multiplication on  $A$  to  $eAe \times eA$ . For each  $a \in A$ , let  $a_L$  be the linear operator on the right  $eAe$ -vector space  $Ae$  defined by  $a_L(x) = ax$ , and let  $a_R$  be the linear operator on the left  $eAe$ -vector space  $eA$  defined by  $a_R(x) = xa$ . Then  $a \rightarrow a_L$  is an isomorphism from  $A$  onto a dense ring  $A_L$  of linear operators on  $Ae$ ,  $a \rightarrow a_R$  is an anti-isomorphism from  $A$  onto a dense ring  $A_R$  of linear operators on  $eA$ , and both  $A_L$  and  $A_R$  contain nonzero linear operators of finite rank [7, Chapters 2 and 4].

Let us assume further that  $A$  is a locally compact ring. If  $\mathcal{V}$  is a fundamental system of neighborhoods of zero in  $A$ , then  $\{Ve : V \in \mathcal{V}\}$  is a fundamental system of neighborhoods of zero in  $Ae$ . Indeed, if  $V \in \mathcal{V}$ , then  $Ve$  is a neighborhood of zero in  $Ae$  since  $V \cap Ae \subseteq Ve$ ; conversely, if  $U$  is a neighborhood of zero in  $A$ , then there is a neighborhood  $W$  of zero in  $A$  such that  $We \subseteq U \cap Ae$  as  $x \rightarrow xe$  is continuous. Similarly,  $\{eV : V \in \mathcal{V}\}$  and  $\{eVe : V \in \mathcal{V}\}$  are fundamental systems of neighborhoods of zero in  $eA$  and  $eAe$  respectively. If  $V \in \mathcal{V}$  is compact, then  $Ve$ ,  $eV$ , and  $eVe$  are also compact since they are continuous images of  $V$ ; hence  $Ae$ ,  $eA$ , and  $eAe$  are all locally compact. A theorem of Otobe [10, Theorem 3], generalized in several ways by Kaplansky [9, Theorems 7–9], implies that  $x \rightarrow x^{-1}$  is continuous on the set of nonzero elements of  $eAe$ , and hence  $eAe$  is a locally compact division ring; this also follows from a theorem of R. Ellis [4, p. 78, Exercise 25] applied to the multiplicative group of nonzero elements of  $eAe$ . Since the scalar multiplications of the vector spaces  $Ae$  and  $eA$  are simply restrictions of multiplication on  $A$ , they are locally compact topological vector spaces over  $eAe$ . The topology of  $eAe$  is defined by an absolute value [8, Theorem 8], and hence if  $eAe$  is indiscrete, then  $Ae$  and  $eA$  are necessarily finite dimensional over  $eAe$  [1, p. 29, Theorem 3]. As mentioned earlier, Kaplansky [9, Theorem 14] proved that  $eAe$  is indeed indiscrete if  $A$  is indiscrete and has characteristic zero, and this follows also if  $A$  is connected, for then  $eAe$  is also connected and hence indiscrete as it is a continuous image of  $A$ . From Pontrjagin's theorem on connected locally compact division rings, we therefore obtain the following result:

**THEOREM 1.** *If  $A$  is a connected locally compact primitive ring having minimal left ideals, then  $A$  is isomorphic to the ring of all linear operators on a finite-dimensional vector space over either the field of real numbers, the field of complex numbers, or the division ring of quaternions.*

In general, for each  $a \in A$  the linear operator  $a_L$  on  $Ae$  and the linear operator  $a_R$  on  $eA$  are continuous as multiplication is continuous on  $A$ . We topologize  $A_L$  and  $A_R$  so that the bijections  $a \rightarrow a_L$  and  $a \rightarrow a_R$  are homeomorphisms. Both  $A_L$  and  $A_R$  are then locally compact rings of continuous linear operators, and since multiplication on  $A$  is continuous,  $(u, x) \rightarrow u(x)$  is continuous from  $A_L \times Ae$  into  $Ae$  and also from  $A_R \times eA$  into  $eA$ . In particular, for each  $x \in Ae$  [respectively,  $x \in eA$ ],  $u \rightarrow u(x)$  is continuous from  $A_L$  into  $Ae$  [respectively, from  $A_R$  into  $eA$ ].

The vector spaces  $Ae$  and  $eA$  possess an important property defined as follows:

**DEFINITION.** A topological vector space  $E$  over a topological division ring  $K$  is *straight* if for every nonzero vector  $x \in E$ , the function  $\lambda \rightarrow \lambda x$  is a homeomorphism from  $K$  onto the one-dimensional subspace of  $E$  generated by  $x$ .

A Hausdorff vector space over an indiscrete topological division ring whose topology is given by an absolute value is straight [1, p. 25, Proposition 2], and any Hausdorff vector space over a (discrete) finite field is clearly straight.

**LEMMA 1.** *If  $A$  is a locally compact primitive ring having minimal left ideals and if  $e$  is a minimal idempotent of  $A$ , then the  $eAe$ -vector spaces  $Ae$  and  $eA$  are straight.*

**Proof.** Let  $K = eAe$ . The function  $\lambda \rightarrow e\lambda$  from  $K$  onto the subspace  $e.K$  of  $Ae$  is simply the identity mapping of  $K$  and hence is a homeomorphism. Let  $x$  be a nonzero vector of  $Ae$ . The set of all continuous linear operators on  $Ae$  is dense since it contains  $A_L$ . In particular, there exist continuous linear operators  $u$  and  $v$  on  $Ae$  such that  $u(e) = x$  and  $v(x) = e$ . Thus  $e\lambda \rightarrow x\lambda$  is a homeomorphism from  $e.K$  onto  $x.K$ , for it is the restriction of  $u$  to  $e.K$ , and its inverse is the restriction of  $v$  to  $x.K$ . Hence  $\lambda \rightarrow x\lambda$  is also a homeomorphism from  $K$  onto  $x.K$ . Similarly, the left  $K$ -vector space  $eA$  is straight.

**LEMMA 2.** *Let  $E$  be a discrete topological vector space over a discrete topological division ring  $K$ , and let  $A$  be a set of linear operators on  $E$ , topologized so that  $u \rightarrow u(x)$  is continuous from  $A$  into  $E$  for each  $x \in E$ . For every  $n \geq 0$ , the set  $F_n$  of all linear operators in  $A$  of rank  $\leq n$  is closed in  $A$ .*

**Proof.** Let  $w$  belong to the closure of  $F_n$ , and let  $x_1, \dots, x_{n+1}$  be a sequence of  $n+1$  vectors. There is a filter  $\mathcal{F}$  on  $F_n$  converging to  $w$ , and hence by hypothesis  $\mathcal{F}(x_i) \rightarrow w(x_i)$  for  $1 \leq i \leq n+1$ . Since  $E$  is discrete, there exists  $H_i \in \mathcal{F}$  such that  $u(x_i) = w(x_i)$  for all  $u \in H_i$ . Let  $u \in H_1 \cap \dots \cap H_{n+1}$ . As  $u \in F_n$ , there exist scalars  $\lambda_1, \dots, \lambda_{n+1}$  not all of which are zero such that

$$\lambda_1 u(x_1) + \dots + \lambda_{n+1} u(x_{n+1}) = 0.$$

Hence

$$\sum_{i=1}^{n+1} \lambda_i w(x_i) = \sum_{i=1}^{n+1} \lambda_i u(x_i) = 0.$$

Therefore  $\text{rank } w \leq n$ .

**LEMMA 3.** *Let  $E$  be a discrete topological vector space, and let  $A$  be a dense ring of linear operators of finite rank on  $E$ , topologized so that  $A$  is a topological ring and  $u \rightarrow u(x)$  is continuous from  $A$  into  $E$  for each  $x \in E$ . If in addition  $A$  is a Baire space and the open additive subgroups of  $A$  form a fundamental system of neighborhoods of zero, then  $A$  is discrete.*

**Proof.** If  $E$  is finite dimensional, then  $A$  is discrete, for if  $\{c_1, \dots, c_s\}$  is a basis of  $E$ , then

$$\{0\} = \{u \in A : u(c_i) = 0, 1 \leq i \leq s\}$$

is a neighborhood of zero since  $E$  is discrete. Therefore we shall assume that  $E$  is infinite dimensional.

For each  $n \geq 1$  let  $F_n$  be the set of all linear operators in  $A$  of rank  $\leq n$ . Then  $\bigcup_{n \geq 1} F_n = A$ , so by Lemma 2 and our hypotheses there exist  $n \geq 1$ , a linear operator  $v \in A$ , and an open additive subgroup  $G$  of  $A$  such that  $v + G \subseteq F_n$ . For any  $w \in G$ ,

$$\text{rank } w \leq \text{rank } (v + w) + \text{rank } (-v) \leq n + \text{rank } v,$$

so the ranks of members of  $G$  are bounded. Let  $m$  be the largest of the ranks of members of  $G$ , and let  $u \in G$  have rank  $m$ . Let  $x_1, \dots, x_m \in E$  be such that  $\{u(x_1), \dots, u(x_m)\}$  is a basis of the range  $M$  of  $u$ . As  $E$  is discrete,

$$V = \{v \in G : v(x_i) = 0, 1 \leq i \leq m\}$$

is an open neighborhood of zero in  $A$ .

We shall show that if  $v \in V$ , then  $v(E) \subseteq M$ . If not, let  $v \in V$  and  $y \in E$  be such that  $v(y) \notin M$ . Then  $u + v \in G$ , so  $\text{rank } (u + v) \leq m$ . But  $(u + v)(x_i) = u(x_i)$  if  $1 \leq i \leq m$ , and  $(u + v)(y) = u(y) + v(y) \notin M$  since  $v(y) \notin M$ ; hence  $u(x_1), \dots, u(x_m), u(y) + v(y)$  is a linearly independent sequence of  $m + 1$  vectors belonging to the range of  $u + v$ , a contradiction.

Since  $E$  is infinite dimensional, there exist  $y_1, \dots, y_m \in E$  such that  $u(x_1), \dots, u(x_m), y_1, \dots, y_m$  is a linearly independent sequence of  $2m$  vectors. As  $A$  is dense, there exists  $w \in A$  such that  $w(u(x_i)) = y_i$  for  $1 \leq i \leq m$ . As  $v \rightarrow wv$  is continuous, there is a neighborhood  $U$  of zero in  $A$  such that  $U \subseteq V$  and  $wU \subseteq V$ . To show that  $U = \{0\}$ , let  $v \in U$  and let  $x \in E$ . Then  $v(x) \in M$ , so there exist scalars  $\lambda_1, \dots, \lambda_m$  such that

$$v(x) = \sum_{i=1}^m \lambda_i u(x_i).$$

Consequently,

$$wv(x) = \sum_{i=1}^m \lambda_i y_i,$$

but  $wv(x) \in M$  as  $wv \in V$ ; hence  $wv(x) = 0$ , so  $\lambda_1 = \dots = \lambda_m = 0$ , whence  $v(x) = 0$ . Thus  $U = \{0\}$ , so the topology of  $A$  is the discrete topology.

**LEMMA 4.** *Let  $E$  be a straight locally compact vector space over a discrete topological division ring  $K$ , and let  $A$  be a dense ring of continuous linear operators of finite rank on  $E$ , topologized so that  $A$  is a locally compact ring and  $(u, x) \rightarrow u(x)$  is con-*

tinuous from  $A \times E$  into  $E$ . If  $E$  is generated by a compact neighborhood  $V$  of zero, then  $A$  is discrete.

**Proof.** The ring  $A$  is a simple ring having minimal left ideals [6, Theorem 1]; let  $e$  be a minimal idempotent of  $A$ , i.e., a projection on a one-dimensional subspace  $M$  of  $E$ , and let  $N$  be the kernel of  $e$ . As  $E$  is straight,  $M$  is a discrete subspace and hence is closed, so  $V \cap M$  is a compact discrete subset and hence is finite. Therefore there is an open neighborhood  $W$  of zero in  $E$  such that  $W \cap M = \{0\}$ . Now  $N = e^{-1}(W)$ , for if  $x \in e^{-1}(W)$ , then  $e(x) \in W \cap M = \{0\}$ , so  $x \in N$ ; but  $N = e^{-1}(W)$  is open as  $e$  is continuous. As  $(u, x) \rightarrow u(x)$  is continuous, the topology of  $A$  is stronger than the compact-open topology on  $A$  [4, p. 46, Corollary 1]. Consequently,

$$U = \{u \in A : u(V) \subseteq N\}$$

is a neighborhood of zero in  $A$ . As  $V$  generates  $E$ ,

$$U = \{u \in A : u(E) \subseteq N\},$$

so  $eU = \{0\}$ . Therefore  $eA$  is discrete.

As we observed earlier,  $A$  is anti-isomorphic as a topological ring to a dense ring  $A_R$  of linear operators of finite rank on the left  $eAe$ -vector space  $eA$ . As  $eA$  is discrete,  $A$  is not connected; as  $A$  is simple, therefore,  $A$  is totally disconnected, and thus the open additive subgroups of  $A$  form a fundamental system of neighborhoods of zero [2, p. 114, Exercise 18]. Also  $A$  is a Baire space as it is locally compact [4, p. 110, Theorem 1]. Thus by Lemma 3 applied to  $A_R$ , we conclude that  $A_R$  is discrete, whence  $A$  is also.

**THEOREM 2.** *A locally compact simple ring  $A$  having minimal left ideals is either discrete or isomorphic to the ring of all linear operators on a finite-dimensional vector space over a locally compact division ring.*

**Proof.** Let  $e$  be a minimal idempotent of  $A$ , let  $K = eAe$ , and let  $E$  be the right  $K$ -vector space  $Ae$ . As observed earlier, the latter conclusion follows if  $K$  is indiscrete; therefore we shall assume that  $K$  is discrete, and we shall prove that  $A$  is discrete. Let  $V$  be a compact neighborhood of zero in  $E$ , which we may assume contains a nonzero vector; then the subspace  $F$  generated by  $V$  is a nonzero subspace of  $E$ . Clearly  $F$  is a locally compact and hence closed subspace, so the subring

$$B = \{u \in A_L : u(F) \subseteq F\}$$

is a closed and hence locally compact subring of  $A_L$ . For each  $u \in B$  let  $u_F$  be the restriction of  $u$  to  $F$ , and let  $\rho: u \rightarrow u_F$ . Then  $\rho$  is a homomorphism from  $B$  onto a subring  $B'$  of continuous linear operators of finite rank on  $F$ , and the kernel of  $\rho$  is the ideal

$$H = \{u \in B : u(F) = \{0\}\}.$$

Now  $H$  is clearly closed in  $B$ , so the topological ring  $B/H$  is Hausdorff and hence locally compact since the canonical epimorphism from  $B$  onto  $B/H$  is continuous and open. We topologize  $B'$  so that the algebraic isomorphism from  $B/H$  onto  $B'$  induced by  $\rho$  is a homeomorphism; then  $\rho$  is a continuous open epimorphism from  $B$  onto  $B'$ .

To show that  $B'$  is a dense ring of linear operators on  $F$ , let  $x_1, \dots, x_n$  be a sequence of linearly independent vectors of  $F$  and let  $y_1, \dots, y_n \in F$ . Now  $A_L$  contains a projection  $p$  on the subspace generated by  $\{y_1, \dots, y_n\}$  [6, Lemma 1], and there exists  $u \in A_L$  such that  $u(x_i) = y_i$ ,  $1 \leq i \leq n$ . Then  $pu(E) \subseteq F$ , so the restriction  $v$  of  $pu$  to  $F$  belongs to  $B'$  and satisfies  $v(x_i) = y_i$ ,  $1 \leq i \leq n$ . Moreover,  $F$  is straight since  $E$  is by Lemma 1, and  $(v, x) \rightarrow v(x)$  is continuous from  $B' \times F$  into  $F$  since  $(u, x) \rightarrow u(x)$  is continuous from  $A_L \times E$  into  $E$  and since  $\rho$  is an open mapping. Therefore by Lemma 4,  $B'$  is discrete. Consequently,  $H$  is open in  $B$ . But as  $V$  generates  $F$ ,

$$B \supseteq \{u \in A_L : u(V) \subseteq V\},$$

which is a neighborhood of zero in  $A_L$  since the topology of  $A_L$  is stronger than the compact-open topology [4, p. 46, Corollary 1]. Hence  $B$  is an open subring of  $A_L$ , so  $H$  is an open left ideal of  $A_L$ .

For each  $x \in E$ , let

$$H_x = \{u \in A_L : u(x) = 0\}.$$

Let  $z$  be a nonzero vector of  $F$ . Then  $H_z \supseteq H$ , and hence  $H_z$  is an open left ideal of  $A_L$ . Let  $x$  be any vector of  $E$ , and let  $g \in A_L$  be such that  $g(z) = x$ . Then there is a neighborhood  $W$  of zero in  $A_L$  such that  $Wg \subseteq H_z$ ; hence  $W \subseteq H_x$ , so  $H_x$  is open. We now retopologize  $E$  with the discrete topology. Then for each  $x \in E$ ,  $u \rightarrow u(x)$  is continuous from  $A_L$  into  $E$ , since  $H_x$  is open in  $A_L$ . As  $K$  is discrete and thus not connected,  $A$  and hence  $A_L$  are not connected; therefore  $A_L$  is totally disconnected as it is simple, and consequently the open additive subgroups of  $A_L$  form a fundamental system of neighborhoods of zero [2, p. 114, Exercise 18]. Also  $A_L$  is a Baire space since it is locally compact [4, p. 110, Theorem 1]. Thus by Lemma 3,  $A_L$  is discrete; hence  $A$  is discrete.

**2. Locally compact vector spaces over discrete division rings.** Here we present a few results concerning straight locally compact vector spaces over discrete division rings, and from them we obtain a theorem on locally compact primitive algebras having minimal left ideals.

**LEMMA 5.** *If  $E$  is a straight Hausdorff topological vector space over a discrete division ring  $K$  and if  $V$  is a compact subset of  $E$ , then for every nonzero vector  $x \in E$ ,  $\{\lambda \in K : \lambda x \in V\}$  is finite.*

**Proof.** By hypothesis,  $K.x$  is discrete and hence closed, so  $V \cap K.x$  is compact and discrete and therefore finite; consequently  $\{\lambda \in K : \lambda x \in V\}$  is finite.

**THEOREM 3.** *Let  $E$  be a straight locally compact vector space over a discrete infinite division ring  $K$ . If  $V$  is a compact neighborhood of zero in  $E$  and if  $(\lambda_n)_{n \geq 1}$  is any sequence of distinct nonzero scalars, then  $(\lambda_1 V \cap \cdots \cap \lambda_n V)_{n \geq 1}$  is a fundamental system of neighborhoods of zero in  $E$ ; in particular,  $E$  is metrizable.*

**Proof.** For each  $n \geq 1$ ,

$$G_n = \{x \in V : \lambda_n^{-1}x \notin V\}$$

is an open subset of  $V$ , and  $\bigcup_{n \geq 1} G_n = V - \{0\}$  by Lemma 5. Let  $U$  be an open neighborhood of zero contained in  $V$ . Then  $V - U$  is compact and is contained in  $\bigcup_{n \geq 1} G_n$ , so for some  $m \geq 1$ ,  $V - U \subseteq \bigcup_{k=1}^m G_k$ . Therefore

$$\begin{aligned} U &\supseteq V - \left( \bigcup_{k=1}^m G_k \right) = \bigcap_{k=1}^m (V - G_k) = \bigcap_{k=1}^m \{x \in V : \lambda_k^{-1}x \in V\} \\ &= V \cap \lambda_1 V \cap \cdots \cap \lambda_m V. \end{aligned}$$

Thus  $(V \cap \lambda_1 V \cap \cdots \cap \lambda_n V)_{n \geq 1}$  is a fundamental system of neighborhoods of zero. Replacing  $V$  by  $\lambda_1 V$  and  $\lambda_k \lambda_1^{-1}$ , we conclude that  $(\lambda_1 V \cap \lambda_2 V \cap \cdots \cap \lambda_n V)_{n \geq 1}$  is a fundamental system of neighborhoods of zero.

**THEOREM 4.** *If  $E$  is a straight locally compact vector space over a discrete uncountably infinite division ring  $K$ , then  $E$  is discrete.*

**Proof.** Let  $V$  be a compact neighborhood of zero in  $E$ , let  $(\lambda_n)_{n \geq 1}$  be a sequence of distinct nonzero scalars, and let  $V_m = \lambda_1 V \cap \cdots \cap \lambda_m V$  for each  $m \geq 1$ . For each nonzero scalar  $\mu$ ,  $\mu V$  is a neighborhood of zero, and hence by Theorem 3 there exists  $r(\mu) \geq 1$  such that  $V_{r(\mu)} \subseteq \mu V$ . Since  $K$  is uncountably infinite, there exists  $m \geq 1$  such that  $r(\mu) = m$  for infinitely many nonzero scalars  $\mu$ . If  $x$  were a nonzero vector in  $V_m$ , then  $x \in \mu V$  and hence  $\mu^{-1}x \in V$  for infinitely many nonzero scalars  $\mu$ , in contradiction to Lemma 5. Hence  $V_m = \{0\}$ , so  $E$  is discrete.

**THEOREM 5.** *If  $E$  is a straight locally compact vector space over a discrete division ring  $K$  whose characteristic is zero, then  $E$  is discrete.*

**Proof.** We assume that  $E$  is not discrete.

*Case 1.* There is a neighborhood of zero in  $E$  that contains no nonzero additive subgroup. By a theorem of Gleason [5, Lemma 1.4.2], there is a nonzero continuous homomorphism  $\alpha$  from the topological additive group  $\mathbf{R}$  of real numbers into the additive group  $E$ . Let  $t_0 \in \mathbf{R}$  be such that  $\alpha(t_0) \neq 0$ , and let  $x_0 = \alpha(t_0)$ . Let  $\beta$  be the restriction of  $\alpha$  to the subgroup of all rational multiples of  $t_0$ . As the characteristic of  $K$  is zero,  $\beta$  is injective, and its range is discrete as it is a subset of the one-dimensional subspace generated by  $x_0$ . Thus  $\beta$  is a continuous bijection from an indiscrete group onto a discrete group, which is impossible.

*Case 2.* Every neighborhood of zero in  $E$  contains a nonzero additive subgroup. Let  $x_0$  be a nonzero member of an additive subgroup  $G$  contained in a compact

neighborhood  $V$  of zero. Then  $n \cdot x_0 \in G \subseteq V$  for every integer  $n$ , so  $\lambda x_0 \in V$  for infinitely many scalars  $\lambda$  as the characteristic of  $K$  is zero, in contradiction to Lemma 5.

It is easy to construct a straight, indiscrete, compact vector space over a discrete finite field: we need only consider the cartesian product of infinitely many copies of the field. By Theorems 4 and 5, there is no straight, indiscrete, locally compact vector space over a discrete division ring  $K$  if either  $K$  is uncountably infinite or  $K$  has characteristic zero. We next construct an example of a straight, indiscrete, locally compact vector space over a discrete, countable division ring of prime characteristic.

EXAMPLE 1. Let  $P$  be a prime field of prime characteristic, and let  $K$  be a countably infinite algebraic extension of  $P$ , e.g., let  $K$  be an algebraic closure of  $P$ . Let  $\lambda_1, \lambda_2, \dots$  be an enumeration of the nonzero elements of  $K$ , let  $K_0 = P$ , and for each  $n \geq 1$  let  $K_n = P[\lambda_1, \dots, \lambda_n]$ , the subfield generated by  $\lambda_1, \dots, \lambda_n$ . As  $K$  is an algebraic extension of  $P$ ,  $(K_n)_{n \geq 0}$  is an increasing sequence of finite subfields of  $K$  whose union is  $K$ . Let  $E = K^N$ , the  $K$ -vector space of all sequences  $(\alpha_n)_{n \geq 0}$  where  $\alpha_n \in K$  for all  $n \geq 0$ . Let  $V = \prod_{n \geq 0} K_n$ , and for each  $m \geq 1$  let  $V_m = \lambda_1 V \cap \dots \cap \lambda_m V$ . We shall prove that  $(V_m)_{m \geq 1}$  is a fundamental system of neighborhoods of zero for a topology on  $E$  making  $E$  an indiscrete, straight locally compact vector space over the discrete field  $K$ .

Since each  $V_m$  is an additive subgroup, addition is continuous on  $E$ . As  $K$  is discrete, to show that scalar multiplication is continuous it suffices to show that  $x \rightarrow \lambda x$  is continuous at zero for each nonzero scalar  $\lambda$ . But if  $m \geq 1$ , then  $\lambda V_r \subseteq V_m$  where  $r$  is such that  $\lambda^{-1}\lambda_1, \dots, \lambda^{-1}\lambda_m$  are among  $\lambda_1, \dots, \lambda_r$ . Thus  $E$  is a topological  $K$ -vector space.

To show that our topology is stronger than the cartesian product topology on  $E = K^N$ , let  $W = \prod_{n \geq 0} L_n$  where  $L_n = \{0\}$  for  $n < m$  and  $L_n = K$  for  $n \geq m$ . Let  $\beta \in K$  be such that  $\beta \notin K_m$ ; to show that  $V \cap \beta V \subseteq W$ , let  $(\alpha_n)_{n \geq 0} \in V \cap \beta V$ . If  $n < m$ , then  $\alpha_n \in K_n$  and  $\beta^{-1}\alpha_n \in K_n$ , so if  $\alpha_n \neq 0$ , then  $\beta^{-1}$  and hence also  $\beta$  would belong to  $K_n \subseteq K_m$ , a contradiction. Hence  $\alpha_n = 0$  if  $n < m$ , so  $(\alpha_n)_{n \geq 0} \in W$ , and thus  $V \cap \beta V \subseteq W$ . In particular, our topology is a Hausdorff topology.

Next we shall show that the topology induced on  $V$  is identical with the cartesian product topology of  $V = \prod_{n \geq 0} K_n$ . By the preceding, it suffices to show that for each  $m \geq 1$  we have  $H = \prod_{n \geq 0} H_n \subseteq V_m$  where  $H_n = \{0\}$  if  $n < m$  and  $H_n = K_n$  if  $n \geq m$ . Let  $(\alpha_n) \in H$ , and let  $n \geq m$ . Then  $\alpha_n \in K_n$  and  $\lambda_i^{-1} \in K_m \subseteq K_n$  if  $1 \leq i \leq m$ , so  $\lambda_i^{-1}\alpha_n \in K_n$  if  $1 \leq i \leq m$ , and thus  $\alpha_n \in \lambda_1 K_n \cap \dots \cap \lambda_m K_n$ . Therefore

$$(\alpha_n) \in \bigcap_{n \geq 0} (\lambda_1 K_n \cap \dots \cap \lambda_m K_n) = \lambda_1 V \cap \dots \cap \lambda_m V = V_m.$$

In particular, our topology is indiscrete and  $V$  is compact for it; thus  $E$  is an indiscrete locally compact  $K$ -vector space.

It remains for us to show that  $E$  is straight, i.e., that every one-dimensional

subspace of  $E$  is discrete. Let  $z = (\alpha_n)_{n \geq 0}$  be a nonzero vector, let  $\alpha_s \neq 0$ , and let  $L = \{\lambda \in K : \lambda z \in V\}$ . Then  $L\alpha_s \subseteq K_s$ , so  $L$  is finite since  $\alpha_s \neq 0$  and  $K_s$  is finite. Therefore  $K.z \cap V$  is finite, so  $K.z$  is discrete.

To apply these results to locally compact primitive algebras having minimal left ideals, we first note that if  $A$  is a primitive algebra, then a minimal left ideal of the algebra  $A$  is also a minimal left ideal of the ring  $A$  since the right annihilator of  $A$  is the zero ideal. Therefore a primitive algebra having minimal left (algebra) ideals is also a primitive ring having minimal left ideals.

**THEOREM 6.** *Let  $A$  be a locally compact primitive algebra having minimal left ideals over a topological field  $K$ . If any one of the following three conditions holds, then either  $A$  is discrete or  $A$  contains an identity element and is finite-dimensional over its center.*

- 1°  $K$  is indiscrete.
- 2°  $K$  has characteristic zero.
- 3°  $K$  is uncountably infinite.

**Proof.** Let  $e$  be a minimal idempotent of  $A$ , and let  $D = eAe$ .

*Case 1.*  $D$  is indiscrete. Then  $D$  is an indiscrete locally compact division ring and hence is finite dimensional over its center [8, Theorem 8], and as we observed earlier  $A$  is isomorphic to the ring of all linear operators on a finite-dimensional  $D$ -vector space. Hence  $A$  has an identity element and the center of  $D$  may be identified with the center of  $A$ , so  $A$  is finite dimensional over its center.

*Case 2.*  $D$  is discrete. As  $\lambda \rightarrow \lambda e$  is a continuous injection from  $K$  into  $D$ ,  $K$  is also discrete and hence by our hypothesis either has characteristic zero or is uncountably infinite. To show that the  $K$ -vector space  $A$  is straight, let  $a$  be a nonzero element of  $A$ , and let  $x \in Ae$  be such that  $a_L(x) \neq 0$ . As we saw earlier,  $v \rightarrow v(x)$  is continuous from  $A_L$  into  $Ae$ , and its restriction to  $(K.a)_L$  is an injection from  $(K.a)_L$  into  $a_L(x).D$ , since

$$(\lambda a)_L(x) = (\lambda a)x = (\lambda a)(xe) = (ax)(\lambda e) \in a_L(x).D$$

as  $x = xe$ . By Lemma 1,  $a_L(x).D$  is discrete, so  $K.a$  is also discrete. Therefore  $A$  is straight, so by Theorems 4 and 5,  $A$  is discrete.

**COROLLARY.** *If  $A$  is a locally compact central primitive algebra having minimal left ideals over a topological field  $K$  and if  $K$  either is indiscrete, has characteristic zero, or is uncountably infinite, then  $A$  is finite dimensional over  $K$ .*

**Proof.** If  $A$  is indiscrete, then by Theorem 6  $A$  has an identity element 1, and hence  $\lambda \rightarrow \lambda.1$  is a bijection from  $K$  onto the center of  $A$  as  $A$  is central; therefore  $A$  is finite dimensional over  $K$  by Theorem 6.

Kaplansky [9, p. 458] constructed for any finite field  $K$  an indiscrete locally compact primitive  $K$ -algebra that has an identity element and minimal left ideals

but is infinite dimensional over its center. We construct another example of such an algebra where  $K$  is countably infinite and has prime characteristic.

EXAMPLE 2. We continue with the notation of Example 1. Let  $F$  be the subspace of  $E$  generated by  $V$ . Then  $F$  is locally compact, metrizable, and

$$F = \bigcup_{n \geq 1} (\lambda_1 V + \cdots + \lambda_n V),$$

the union of a countable sequence of compact open subgroups; hence  $F$  is also separable [3, p. 43, Corollary]. Consequently  $\mathcal{C}(F)$ , the  $K$ -algebra of all continuous functions from  $F$  into  $F$ , equipped with the compact-open topology, is a separable, metrizable, topological  $K$ -algebra [4, p. 47, Proposition 9; p. 34, Corollary; p. 41, Corollary]. We shall show that the subalgebra  $A$  of all continuous linear operators on  $F$  is indiscrete and locally compact. Clearly  $A$  is a closed subalgebra of  $\mathcal{C}(F)$ . Let

$$U = \{u \in A : u(V) \subseteq V\}.$$

Then  $U$  is a neighborhood of zero in  $A$ , and  $U$  is clearly closed in  $A$  and hence in  $\mathcal{C}(F)$ . Also,  $U$  is equicontinuous, for  $u(V_m) \subseteq V_m$  for all  $u \in U$  and all  $m \geq 1$ . To show that  $U$  is compact, therefore, it suffices by Ascoli's Theorem [4, p. 32, Corollary 3] to show that  $U(x)$  is relatively compact for each  $x \in F$ . But if  $x \in F$ , then  $x \in \lambda_1 V + \cdots + \lambda_m V$  for some  $m \geq 1$ , whence  $u(x) \in \lambda_1 V + \cdots + \lambda_m V$  for all  $u \in U$ ; thus  $U(x) \subseteq \lambda_1 V + \cdots + \lambda_m V$ , a compact set. Hence  $A$  is a locally compact, separable, metrizable  $K$ -algebra.

For each  $m \geq 0$  let  $e_m$  be the projection defined by

$$e_m((\alpha_n)) = (\delta_{nm} \alpha_n)$$

for all  $(\alpha_n) \in F$ , where  $\delta_{nm}$  is the Kronecker notation. Then  $e_m^{-1}(V) \supseteq V$ , so  $e_m^{-1}(V_r) \supseteq V_r$  for all  $r \geq 1$ , and hence  $e_m \in A$ . Thus  $A$  contains nonzero linear operators of finite rank. To show that  $A$  is dense, it suffices to show that  $A$  is 2-fold transitive. Let  $\{(\alpha_n), (\beta_n)\}$  be a linearly independent set of two vectors of  $F$ . If  $\alpha_m = 0$  and  $\beta_m \neq 0$  for some  $m \geq 0$ , then  $e_m((\alpha_n)) = 0$  and  $e_m((\beta_n)) \neq 0$ ; otherwise  $\alpha_m = 0$  implies that  $\beta_m = 0$  for all  $m \geq 0$ , so there exist  $r, s$  such that  $\alpha_r \neq 0$ ,  $\alpha_s \neq 0$ , and  $\beta_r/\alpha_r \neq \beta_s/\alpha_s$ , whence  $u = \alpha_r^{-1} e_r - \alpha_s^{-1} e_s$  satisfies  $u((\alpha_n)) = 0$ ,  $u((\beta_n)) \neq 0$ . Since  $A$  contains the identity linear operator 1,  $\lambda \rightarrow \lambda \cdot 1$  is therefore an isomorphism from  $K$  onto the center of  $A$ . Therefore  $A$  is an infinite-dimensional central primitive  $K$ -algebra having minimal left ideals and an identity element.

It remains for us to show that  $A$  is indiscrete; we shall show that  $e_m \rightarrow 0$ . Every compact subset of  $F$  is contained in  $\lambda_1 V + \cdots + \lambda_n V$  for some  $n \geq 1$ . If  $m \geq n$ , then  $\lambda_1, \dots, \lambda_n \in K_m$ , and hence  $e_m(\lambda_1 V + \cdots + \lambda_n V) \subseteq \lambda_1 V \cap \cdots \cap \lambda_n V$ . Therefore  $e_m \rightarrow 0$ .

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